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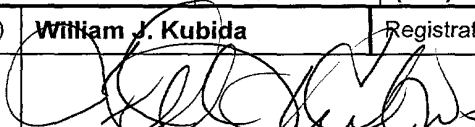
JC961 U.S. PTO

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JC450 U.S. PTO  
09/742204

12/20/00

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No. FUJ 00-1013RAM			
		First Inventor Glen Fox et al.			
		Title PROCESS FOR PRODUCING HIGH QUALITY PZT FILMS FOR FERROELECTRIC MEMORY INTEGRATED CIRCUITS			
		Express Mail Label No. EL700671314US			
<b>APPLICATION ELEMENTS</b>		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231			
<table border="0"><tr><td style="vertical-align: top;"><p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (submit an original and a duplicate for fee processing)</p><p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p><p>3. <input checked="" type="checkbox"/> Specification [ total pages <u>20</u> ] (preferred Arrangement set forth below)</p><ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R&amp;D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul><p>4. <input checked="" type="checkbox"/> Drawing(s) [ total sheets <u>3</u> ]</p><p>5. <input checked="" type="checkbox"/> Oath or Declaration UNSIGNED [ total sheets <u>4</u> ]</p><p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p><p>b. <input type="checkbox"/> Copy from prior appl. (37 C.F.R. § 1.63(d))</p><p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</p></td><td style="vertical-align: top;"><p>6. <input type="checkbox"/> Application Data Sheet. (See 37 CFR 1.76)</p><p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p><p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p><p>a. <input type="checkbox"/> Computer Readable Form</p><p>b. <input type="checkbox"/> Specification Sequence Listing on:</p><p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p><p>ii. <input type="checkbox"/> paper</p><p>c. <input type="checkbox"/> Statements verifying identity of above copies</p></td></tr></table>				<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>3. <input checked="" type="checkbox"/> Specification [ total pages <u>20</u> ] (preferred Arrangement set forth below)</p> <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R&amp;D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) [ total sheets <u>3</u> ]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration UNSIGNED [ total sheets <u>4</u> ]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from prior appl. (37 C.F.R. § 1.63(d))</p> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</p>	<p>6. <input type="checkbox"/> Application Data Sheet. (See 37 CFR 1.76)</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>
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<b>ACCOMPANYING APPLICATION PARTS</b>					
<table border="0"><tr><td style="vertical-align: top;"><p>9. <input type="checkbox"/> Assignment Papers (coversheet/document(s))</p><p>10. <input type="checkbox"/> 37 CFR. 3.73(b) Statement (when there is an assignee)</p><p>11. <input type="checkbox"/> English Translation Document</p><p>12. <input type="checkbox"/> IDS &amp; Form 1449</p><p>13. <input type="checkbox"/> Preliminary Amendment</p><p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)</p><p>15. <input type="checkbox"/> Certified Copy of Priority Document(s)</p><p>16. <input checked="" type="checkbox"/> Other: Certificate of Mailing by Express Mail</p></td><td style="vertical-align: top;"><p><input type="checkbox"/> Power of Attorney</p><p><input type="checkbox"/> Copies of IDS Citations</p></td></tr></table>				<p>9. <input type="checkbox"/> Assignment Papers (coversheet/document(s))</p> <p>10. <input type="checkbox"/> 37 CFR. 3.73(b) Statement (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document</p> <p>12. <input type="checkbox"/> IDS &amp; Form 1449</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>16. <input checked="" type="checkbox"/> Other: Certificate of Mailing by Express Mail</p>	<p><input type="checkbox"/> Power of Attorney</p> <p><input type="checkbox"/> Copies of IDS Citations</p>
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<p>17. If a <b>CONTINUING APPLICATION</b>, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an <b>Application Data Sheet</b> under 37 CFR 1.76:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: <u>      </u></p> <p>Prior application information: Examiner: <u>                    </u> Group/Art Unit: <u>                    </u></p> <p><small>FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts</small></p>					
<b>17. CORRESPONDENCE ADDRESS</b>					
<input type="checkbox"/> Customer Number or Bar Code Label or <input checked="" type="checkbox"/> Correspondence address below					
Name <b>William J. KUBIDA, Esq.</b>					
Hogan & Hartson, LLP					
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<b>Suite 1500</b>					
City	Denver	State	CO		
ZIP	80202				
Country	US	Telephone	(719) 448-5900		
Fax	(719) 448-5922				
Name (Print/Type)	William J. Kubida		Registration No.		
		29,664			
(Signature)			Date		
		20 DEC 00			

# FEE TRANSMITTAL for FY 2000

Complete if Known

Application Number	-----
Filing Date	Herewith
First Named Inventor	Glen Fox et al.
Examiner Name	
Group / Art Unit	
Attorney Docket No.	FUJ 00-1013RAM

TOTAL AMOUNT OF PAYMENT (\$) (\$)**818.00**

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

**50-1123**

Deposit  
Account  
Name

**Hogan & Hartson L.L.P.**

- ☒ Charge Any Additional Fee Required Under 37 CFR § 1.16 and 1.17  
☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
710	355	Utility Filing Fee	<b>710.00</b>
320	160	Design filing fee	
490	245	Plant filing fee	
710	355	Reissue filing fee	
150	75	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
26	-20**= 6	18	108.00
Independent Claims 3	-3**= 0		0 0
Multiple Dependent			0

\*for number previously paid, if greater, For Reissues, see below

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)**108.00**

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,240	620	Petition to revive - unintentional	
1,240	620	Utility issue fee (or reissue)	
440	220	Design issue fee	
600	300	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
240	240	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
710	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
710	355	For each additional invention to be examined (37 CFR § 1.129(b))	
710	355	Request for Continued Examination Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type) **William J. Kubrida**

Registration No (Attorney/Agent)

**29,664**

Telephone

**(719) 448-5900**

Signature

Date

**20 DEC 00**

PATENT  
EXPRESS MAIL NO. EL700671314US  
Attorney Docket No. FUJ 00-1013RAM  
Client/Matter No. 80458.0007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Glen Fox et al.

Serial No. \_\_\_\_\_

Filed: Herewith

For: PROCESS FOR PRODUCING HIGH  
QUALITY PZT FILMS FOR FERROELECTRIC  
MEMORY INTEGRATED CIRCUITS

Group Art Unit:

Examiner:

CERTIFICATE OF MAILING BY EXPRESS MAIL

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Utility Patent Application Transmittal;
2. Fee Transmittal Form & Check in the amount of \$818.00;
3. Specification, claims and abstract (20 pages);
4. Drawings (3 sheets);
5. Unsigned Declaration for Utility Patent Application;
6. Certificate of Mailing by Express Mail; and
7. Return Card

relating to the above application, were deposited as "Express Mail", Mailing Label No. EL700671314US, with the United States Postal Service, addressed to Box PATENT APPLICATION, The Assistant Commissioner for Patents, Washington, D.C., 20231, 20 December 2000.

20 December 2000  
Date

20 December 2000  
Date

\_\_\_\_\_  
Mailer

  
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